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TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371INTERNATIONAL APPLICATION NO.
PCT/EP00/01414INTERNATIONAL FILING DATE
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03 MARCH 1999 (03.03.99)

TITLE OF INVENTION

METHOD FOR TRANSFERRING REAL-TIME FILES

APPLICANT(S) FOR DO/EO/US

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Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ A copy of the International Search Report (PCT/ISA/210). attached to Item 13
8. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 13 to 20 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98. with 4 references attached
14. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☒ Certificate of Mailing by Express Mail
20. Return postcard receipt

20. ☒ ~~Other items or information~~ : **CERTIFICATE OF MAILING UNDER 37 CFR 1.10**

EL682443171US

August 31, 2001

"Express Mail" mailing no.

Date of Deposit

I hereby certify that this application is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Anelia Urban

Typed or printed name of person
mailing applicationSignature of person mailing
application

Method for transferring real-time files

The invention relates to a method for transferring real-time files, for example for recording
5 and/or reproduction by a DVD-RAM drive.

Prior Art

Real-time files contain data such as video and
10 audio signals which are recorded or reproduced in real time, called real-time data below. In this case, the real-time property gives rise to requirements made of the recording and reproduction means. A DVD-RAM drive, for example, can read and write contiguous sectors rapidly.
15 However, it requires a relatively long time in the event of jumps to other sectors. Therefore, in the case of a DVD-RAM drive, the recorded data should be situated in sectors that are as far as possible contiguous, in order to keep the number of jumps of the read-out mechanism as small as possible.
20

In this case, the requirements made of the recording means also depend on the real-time application, that is to say every real-time application may impose different conditions on the real-time recording of its
25 real-time files.

Invention

The invention is based on the object of
30 specifying a method for transferring real-time files in which even after a transfer of a real-time file from a first to a second recording medium, a real-time reproduction of this real-time file is possible. This object is achieved by means of the method specified in
35 Claim 1.

The invention is based on the insight that, for the transfer of real-time files to another medium, it is very useful to provide a general prescription with which

a file manager, without knowing the real-time application itself, by means of attributes permanently assigned to the real-time file, can derive the rules for recording the real-time file. For this purpose, the file manager
5 should know the recording properties of the destination recording means (e.g. guaranteed transfer rate, guaranteed access time, guaranteed jump times, etc.). Furthermore, owing to the existing diversity of recording means (tape, HDD, CD, DVD, etc.), the real-time file
10 attributes should be independent of the recording means used.

In principle, the invention's method for transferring real-time files containing real-time data consists, therefore, in the fact that real-time file
15 attributes which are permanently assigned to a real-time file and are concomitantly transferred during the transfer of the real-time file are provided for classifying the real-time file, it being possible to utilize the classification to ensure that the real-time
20 properties of the real-time file are preserved during a recording process.

This method is particularly advantageous if the transfer of the real-time file is followed by a recording or a reproduction of the real-time file.

25 Preferably, at least the following real-time file attributes are provided:

- a) the guaranteed minimum transfer rate during the real-time file transfer,
 - b) the maximum transfer rate during the real-time file
30 transfer,
 - c) the size of the buffer store,
- and a version number may be provided as a further real-time file attribute.

It is advantageous, moreover, if the real-time
35 file attributes are combined in a data block and such a data block is assigned to a real-time file.

It is particularly advantageous in this case if the data block is stored in UDF as Extended Attribute in

a File Entry or in a System Stream assigned to the real-time file, or if the real-time file is assigned a fixed area in the useful data area for the real-time file attributes.

5 Finally, the real-time file attributes may preferably be contained in an MPEG private_stream.

Drawings

10 Exemplary embodiments of the invention are described with reference to the figures.

In these figures:

Figure 1 shows a distribution of the real-time file between various sectors of an optical disc with transfer rate and buffer store contents during the real-time data transfer;

Figure 2 shows a distribution of the real-time file between various sectors of an optical disc and of a hard disk after real-time-preserving copying.

Exemplary Embodiments

Figure 1 illustrates an exemplary transfer of a real-time file RF, which is distributed between various sectors s of an optical disc DS. What may be involved here, by way of example, is a DVD-RAM with a storage capacity of 2.6 Gbytes; the real-time file attributes may have the following values:

- 30 a) Minimum transfer rate $V_o = 8$ Mbit/s;
- b) Maximum transfer rate during the real-time file transfer $V_r = 16$ Mbit/s;
- c) Size of the buffer store $S_b = 1$ Mbyte.

35 The transfer of the real-time data at the maximum transfer bit rate V_r is interrupted in this case by short skips ss1, ss2 and a long jump lj. The track buffer is filled at the beginning of the transfer at the maximum transfer bit rate V_r , until the maximum track buffer size

S_b is reached at the instant Fin. In the event of the first short skip ss₁, the occupancy of the track buffer is then reduced, since read-out is effected merely at V_o. After the end of the first short skip ss₁, read-out
5 continues to be effected at V_o, but at the same time read-in is also effected at the maximum transfer bit rate V_r, with the result that the occupancy of the track buffer increases again at V_r-V_o. The occupancy is then
10 equally altered in the event of the long jump lj and the short skip ss₂. The division of the real-time file thus fulfils the conditions for the real-time files, since a transfer rate of V_o takes place over the entire real-time file transfer and, nevertheless, at no point in time does an underflow of the track buffer occur.

15 The rules for the recording of a real-time file with the real-time properties being maintained are thus:

1. The guaranteed minimum transfer rate during the real-time file transfer is V_o
2. The maximum transfer rate during the real-time file
20 transfer is V_r
3. After initial filling of the track buffer of the size S_b at the beginning of the transfer of the real-time file, no underflow of the track buffer is permitted to occur during the transfer of the
25 real-time file

With these rules and knowledge of the destination recording apparatus, the file manager is able to allocate memory on the destination medium in such a way that the real-time property of the real-time file is ensured. What
30 is also important here in the context of choosing the parameters V_o, V_r and S_b is that they are either more stringent or just as stringent as the real-time requirement of the original application.

35 The real-time file attributes for describing the hardware-independent real-time property of the real-time file may in this case have the following format, for example:

	Contents	Unit	Bytes
VER	Version of the real-time file attributes = 1 (Version 1.0)		2
Vo	Bit rate for the application which must at least be supported (maximum bit rate respectively required by the application)	bits per sec.	8
Vr	Maximum transfer bit rate	bits per sec.	8
S _b	Track buffer size	byte	4

Other resolutions of the real-time file attributes would also be conceivable, such as e.g.:

	Contents	Unit	Bytes
VER	Version of the real-time file attributes = 1 (Version 1.0)		2
Vo	Bit rate for the application which must at least be supported (maximum bit rate respectively required by the application)	kbits per sec.	4
Vr	Maximum transfer bit rate	kbits per sec.	4
S _b	Track buffer size	kbyte	4

5

In this exemplary embodiment, the real-time file attributes may be stored under UDF e.g. as UDF System Stream.

Figure 2 diagrammatically illustrates the real-time-preserving copying C for file systems which do not provide storage space permanently assigned to the file for e.g. real-time file attributes. A real-time file RF is in this case copied from a digital video disc DVD to an MS DOS 6.2 hard disk partition HDD. Since no file attributes are provided under MS DOS 6.2, the data are placed in a data block RFA with a size of 2048 bytes at the start of the real-time file, that is to say the real-time file increases in size by 2048 bytes. As a

result, the file attributes are assigned to the real-time file, so that the real-time file attributes are always concomitantly copied even in the case of a Copy Command.

In this case, the copying can be effected with the aid of a personal computer file manager, e.g. an RTRW real-time file being copied from a DVD-RAM 2.6 Gbyte drive to an internal hard disk. The intention is for the real-time file to be able to be read in real time and written in real time on the hard disk. For this purpose, the file manager must know a number of properties of the HDD, that is to say how rapidly contiguous sectors can be read, how long a jump to another sector takes, etc. By means of the real-time file attributes, the file manager can then derive the way in which the HDD storage space that is still free can be allocated in order that the real-time requirements made of the real-time file are fulfilled.

The real-time file attributes can be inserted into the real-time file in e.g. the following format:

	Contents	Unit	Bytes
RT_ATTR_SEC_ID	Identification bytes for identifying the real-time file attributes	-	24
RT_ATTR_ID	Identification bytes for indicating valid real-time file attributes: ASCII text: "REALTIMEATTR"	-	12
RT_ATTR_SZ	Number of subsequent real-time file attributes	byte	4
VER	Version of the real-time file attributes = 1 (Version 1.0)		2
Vo	Bit rate for the application which must at least be supported (maximum bit rate respectively required by the application)	bits per sec.	8
Vr	Maximum transfer bit rate	bits per sec.	8
S _b	Track buffer size	byte	4
Reserved	Reserved		1986

RT_ATTR_SEC_ID contains the information - customary in DVD - of a Pack header (14 bytes) and the information of a minimal Packet Header. That is expedient for achieving storage of the real-time file attributes which is as DVD-compatible as possible. The actual data shall then be declared as MPEG private_stream_1.

RT_ATTR_ID is a further identifier for ensuring that this private_stream contains real-time file attributes.

RT_ATTR_SZ specifies the number of subsequent bytes belonging to the real-time file attributes. If more than 2008 bytes follow for subsequent applications, then the remaining real-time file attributes are distributed between the subsequent sectors, in each case after the

first 36 bytes of a sector. The first 36 bytes of the real-time file attribute sectors have identical contents.

The first 2048 bytes of the real-time file have e.g. the following contents:

5

Contents	Bytes
DVD pack header (see DVD Book, part 3, Version 1.0): SCR = 0; packet header: stream_id=private_stream_1, PES_packet_length=4, PTS_DTS_flag=00, no PES extension sub stream id=255	24
ASCII Text: "REALTIMEATTR"	12
Number of subsequent real-time file attributes = 22	4
Version of the real-time file attributes = 1 (Version 1.0)	2
Bit rate for the application which must at least be supported = 8 Mbit/s	8
Maximum transfer bit rate = 16 Mbits/s	8
Track buffer size = 1 Mbyte	4
Reserved (all bytes to 0)	1986

The subsequent bytes of the transferred file then contain the data of the original real-time file.

10 A real-time file can be transferred between a wide variety of recording/reproduction apparatuses such as, for example, CD or DVD-RAM drives or hard disks. Moreover, it is possible, for instance, to copy a real-time file from a DVD-RAM drive to a tape without the real-time property of the real-time file being lost.

15

New Patent Claims

1. Method for recording real-time files (RF) containing real-time data, wherein real-time file attributes (RFA) are permanently assigned to the real-time files and are concomitantly recorded together with the real-time files, the real-time file attributes (RFA) describing hardware independent real-time requirements of the real-time files (RF) during a real-time file transfer for playback of the real-time files (RF), **characterized** in that at least the following real-time file attributes are provided:
- a) a guaranteed minimum transfer rate (V_o) during the real-time file transfer,
 - b) a maximum transfer rate (V_r) during the real-time file transfer,
 - c) a buffer store size (S_b) used during the real-time file transfer,
- and that rules for recording the real-time files (RF) are derived from the real-time file attributes in order to ensure that the real-time properties of the real-time files are preserved during the recording process.
2. Method according to Claim 1, **characterized** in that for a fragmented recording of the real-time files (RF) the sizes of the file fragments and the distances between the file fragments are chosen in such a way that the real-time file transfer can be performed with the guaranteed minimum transfer rate (V_o) during the entire real-time file transfer without any underflow of the buffer store.
3. Method according to Claim 1 or 2, **characterized** in that a version number (VER) is provided as a further real-time file attribute.
4. Method according to one of the preceding claims, **characterized** in that the real-time file attributes are

combined in a data block and such a data block is assigned to a real-time file.

5. Method according to Claim 4, **characterized** in
5 that the data block is stored in UDF as Extended Attribute in a File Entry or in a System Stream assigned to the real-time file.
6. Method according to Claim 4, **characterized** in
10 that the real-time file is assigned a fixed area in the useful data area for the real-time file attributes.
7. Method according to Claim 6, **characterized** in
15 that the real-time file attributes are contained in an MPEG private_stream.

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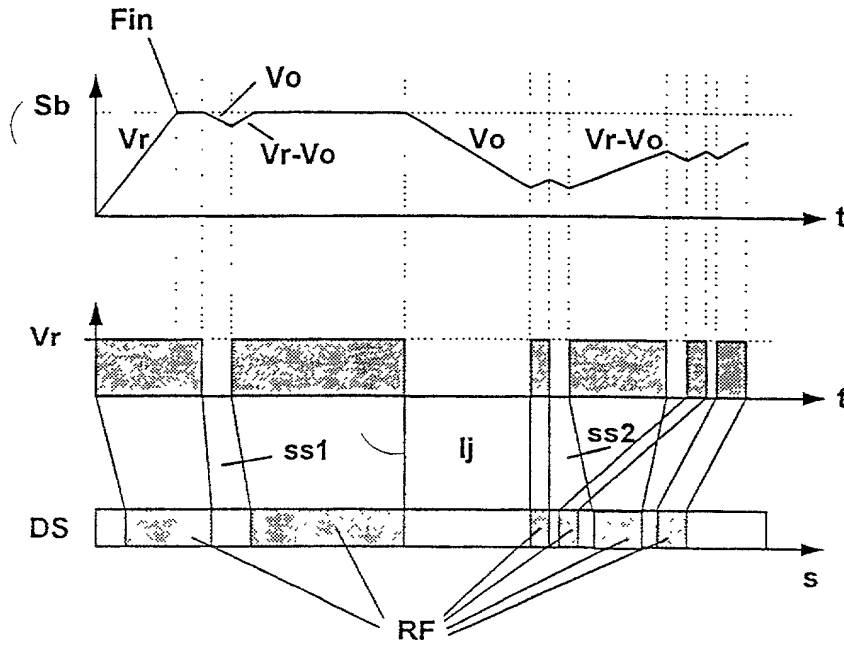


Fig. 1

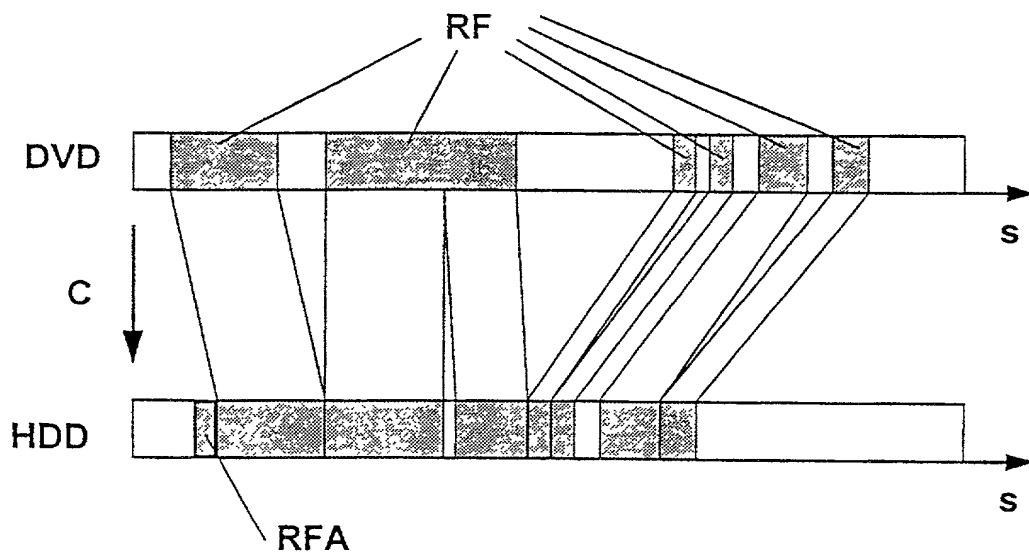


Fig. 2

DECLARATION FOR UNITED STATES PATENT APPLICATION,
POWER OF ATTORNEY, DESIGNATION OF CORRESPONDENCE ADDRESS

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name, and that I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

METHOD FOR TRANSFERRING REAL-TIME FILES

the specification of which
(CHECK ONE) () is attached hereto.
(xx) was filed on February 21, 2000, Application Serial. No. PCT/EP 00/01414 and was amended on .

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with 37 CFR 1.56(a).

I hereby claim foreign priority benefits under 35 USC 119 of any foreign application(s) for patent, utility model, design or inventor's certificate having a filing date before that of the application(s) on which priority is claimed:

Prior Foreign Application(s)			Priority Claimed	
Number	Country	Date Filed	Yes	No
19909367.9	DE	March 3, 1999	xx	

I hereby claim the benefit under 35 USC 120 of any US Application(s) listed below, and, insofar as the subject matter of each of the claims of this Application is not disclosed in the prior US application in the manner provided by the first paragraph of 35 USC 112, I acknowledge the duty to disclose information which is material to the examination of this application in accordance with 37 CFR 1.56(a).

Serial No.: _____ Filed: _____

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under of 18 USC 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint the following attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Joseph S. Tripoli (Reg. No. 26,040) Telephone: (609) 734-9443.

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